990

Return of Organization Exempt From Income Tax

OMB No. 1545-0047

Open to Public Inspection

Department of the Treasury

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations) Do not enter social security numbers on this form as it may be made public.

► Go to www.irs.gov/Form990 for instructions and the latest information. Internal Revenue Service For the 2017 calendar year, or tax year beginning and ending C Name of organization Dana's Angels Research Trust D Employer identification number Check if applicable: Doing business as Address change Number and street (or P.O. box if mail is not delivered to street address) Room/suite 51-6528048 Name change 15 East Putnam Ave. 117 E Telephone number Initial return City or town State ZIP code (203) 861-2063 CT 06830 Greenwich Final return/terminated Foreign country name Foreign province/state/county Foreign postal code 407.326 Amended return G Gross receipts \$ F Name and address of principal officer: Application pending X No H(a) Is this a group return for subordinates? Philip Marella 15 Desiree Dr, Greenwich, CT 06830 H(b) Are all subordinates included? If "No," attach a list. (see instructions) X 501(c)(3) Tax-exempt status: 501(c) () ◀ (insert no.) 4947(a)(1) or 527 Website: ► www.DanasAngels.Org **H(c)** Group exemption number ▶ Corporation X Trust **K** Form of organization: Association Other > L Year of formation: 2002 M State of legal domicile: Summary Briefly describe the organization's mission or most significant activities: Dana's Angels Research Trust's mission is Activities & Governance furthering medical research, medical education, or medical or hospital care for the treatment of Niemann-Pick type C disease or similar genetic diseases. Check this box | if the organization discontinued its operations or disposed of more than 25% of its net assets. Number of voting members of the governing body (Part VI, line 1a) 3 Number of independent voting members of the governing body (Part VI, line 1b) 5 4 Total number of individuals employed in calendar year 2017 (Part V, line 2a) 0 5 6 10 Total unrelated business revenue from Part VIII, column (C), line 12. . . 0 7a Net unrelated business taxable income from Form 990-T, line 34. 0 **Prior Year Current Year** 274,912 325,428 Program service revenue (Part VIII, line 2g) 9 0 0 Investment income (Part VIII, column (A), lines 3, 4, and 7d) 767 178 10 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) . . . -27.84011 -101.109 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12) . . . 247.839 224.497 12 Grants and similar amounts paid (Part IX, column (A), lines 1–3) 289,734 13 166,376 14 Benefits paid to or for members (Part IX, column (A), line 4) 0 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10) . . . 0 15 0 0 16a Professional fundraising fees (Part IX, column (A), line 11e) Total fundraising expenses (Part IX, column (D), line 25) ► 5,449 b 17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e) 7,077 9,583 Total expenses. Add lines 13–17 (must equal Part IX, column (A), line 25). 18 296,811 175,959 19 -48.972 48.538 **Beginning of Current Year End of Year** 20 402.101 Total assets (Part X, line 16) 450,639 Total liabilities (Part X, line 26) 21 Net assets or fund balances. Subtract line 21 from line 20 22 402.101 450,639 Signature Block Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge. /S/ Philip D. Marella 10/15/2018 Sign Signature of officer Date Here Philip D. Marella Trustee Type or print name and title Print/Type preparer's name Preparer's signature Date PTIN Check Paid SELF-PREPARED RETURN self-employed **Preparer** Firm's name Firm's EIN ▶ **Use Only** Firm's address ▶ Phone no.

No

Yes

84,017) (Revenue \$

Other program services. (Describe in Schedule O.)

84,017 including grants of \$

168,243

(Expenses \$

Total program service expenses

44,400)

Form 990 (2017) Dana's Angels Research Trust

Part IV Checklist of Required Schedules 51-6528048

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes,"			
	complete Schedule A	1	Χ	
2	Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> (see instructions)?	2	Χ	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to			V
4	candidates for public office? If "Yes," complete Schedule C, Part I	3		Х
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>	4		Χ
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues,	4		
9	assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C,</i>			
	Part III	5		Х
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors			
	have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If			
	"Yes," complete Schedule D, Part I	6		Χ
7	Did the organization receive or hold a conservation easement, including easements to preserve open space,			
	the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7		Χ
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes,"			
	complete Schedule D, Part III	8		Χ
9	Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a			
	custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt			V
10	negotiation services? <i>If</i> "Yes," <i>complete Schedule D, Part IV</i>	9		Χ
10	endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>	10		Х
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI,	10		
•	VII, VIII, IX, or X as applicable.			
а	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete			
	Schedule D, Part VI	11a		Χ
b	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more			
	of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	11b		Χ
С	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more			
	of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	11c		Χ
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets			.,
_	reported in Part X, line 16? If "Yes," complete Schedule D, Part IX.	11d		X
	Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X.</i> . Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses	11e		Χ
•	the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	11f		Х
12a	Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete</i>			
	Schedule D, Parts XI and XII	12a		Х
b	Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If</i> "Yes,"			
	and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	12b		Χ
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13		Χ
	Did the organization maintain an office, employees, or agents outside of the United States?	14a		Χ
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking,			
	fundraising, business, investment, and program service activities outside the United States, or aggregate	ا ا		.,
1 <i>E</i>	foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV	14b		Х
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV	15		V
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other	10		X
10	assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV	16		Х
17	Did the organization report a total of more than \$15,000 of expenses for professional fundraising services			
	on Part IX, column (A), lines 6 and 11e? <i>If</i> "Yes," <i>complete Schedule G, Part I</i> (see instructions)	17		Х
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on			
	Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18	Х	
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a?			
	If "Yes," complete Schedule G, Part III	19		Χ

Form 990 (2017) Dana's Angels Research Trust 51-6528048 Page 4 Checklist of Required Schedules (continued) Part IV Yes No 20a Χ **b** If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? 20b 21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II 21 Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on 22 Χ Did the organization answer "Yes" to Part VII. Section A. line 3. 4. or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated 23 Χ **24a** Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines Χ 24a **b** Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? c Did the organization maintain an escrow account other than a refunding escrow at any time during the year 24c d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? 24d 25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit 25a Х **b** Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or Χ Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or 26 Χ Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled 27 Χ Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions): Χ a A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV 28a **b** A family member of a current or former officer, director, trustee, or key employee? *If* "Yes," *complete* 28b Χ c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV Χ 28c 29 Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M Χ Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified Χ Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, 31 Χ **32** Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? 32 Χ Did the organization own 100% of an entity disregarded as separate from the organization under Regulations 33 Χ Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, Χ

b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2

Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related

Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part

Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and

Χ

Χ

35a

35b

36

Dana's Angels Research Trust

Statements Regarding Other IRS Filings and Tax Compliance Part V

	Check if Schedule O contains a response or note to any line in this Part V			
		-	Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable			
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable			
С	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable			
	gaming (gambling) winnings to prize winners?	1c		
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax			
	Statements, filed for the calendar year ending with or within the year covered by this return 2a 0			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?	2b		
	Note. If the sum of lines 1a and 2a is greater than 250, you may be required to <i>e-file</i> . (see instructions)			
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a		Х
b	If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O	3b		Χ
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority			i)
	over, a financial account in a foreign country (such as a bank account, securities account, or other financial			
	account)?	4a		Х
b	If "Yes," enter the name of the foreign country: See instructions for files requirements for Fin CFN Form 1114. Report of Feeign Book and Financial Accounts			
	See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts			
5a	(FBAR). Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a		X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		^ X
C	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	5c		_^
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the	30		
ou	organization solicit any contributions that were not tax deductible as charitable contributions?	6a		Х
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or			<u> </u>
-	gifts were not tax deductible?	6b		ì
7	Organizations that may receive deductible contributions under section 170(c).			
а	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods			
	and services provided to the payor?	7a		Х
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b		
С	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was			
	required to file Form 8282?	7c		Х
d	If "Yes," indicate the number of Forms 8282 filed during the year			
е	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		Х
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f		Х
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? .	7h		
8	Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the			
_	sponsoring organization have excess business holdings at any time during the year?	8		
9	Sponsoring organizations maintaining donor advised funds.	0-		
a	Did the sponsoring organization make any taxable distributions under section 4966?	9a 9b		
b 10	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person? Section 501(c)(7) organizations. Enter:	90		
а	Initiation fees and capital contributions included on Part VIII, line 12			
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities			
11	Section 501(c)(12) organizations. Enter:			
a	Gross income from members or shareholders			
b	Gross income from other sources (Do not net amounts due or paid to other sources			
	against amounts due or received from them.)			
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year 12b			
13	Section 501(c)(29) qualified nonprofit health insurance issuers.			
а	Is the organization licensed to issue qualified health plans in more than one state?	13a		
	Note. See the instructions for additional information the organization must report on Schedule O.			
b	Enter the amount of reserves the organization is required to maintain by the states in which			
	the organization is licensed to issue qualified health plans			
С	Enter the amount of reserves on hand			
l4a	Did the organization receive any payments for indoor tanning services during the tax year?	14a		Х
h	If "Yes " has it filed a Form 720 to report these payments? If "No " provide an explanation in Schedule O	14h		

X Own website

financial statements available to the public during the tax year.

19

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	Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for			ago
	response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. S			ions.
	Check if Schedule O contains a response or note to any line in this Part VI			Χ
Sect	ion A. Governing Body and Management			
	<u> </u>		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year 1a 5			
	If there are material differences in voting rights among members of the governing body, or			
	if the governing body delegated broad authority to an executive committee or similar			
	committee, explain in Schedule O.			
b	Enter the number of voting members included in line 1a, above, who are independent 1b			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with			
_	any other officer, director, trustee, or key employee?	2	Χ	
3	Did the organization delegate control over management duties customarily performed by or under the direct			
	supervision of officers, directors, or trustees, or key employees to a management company or other person?	3		X
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4		X
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5		X
6	Did the organization have members or stockholders?	6		_^
7a	one or more members of the governing body?	7a		Х
b	Are any governance decisions of the organization reserved to (or subject to approval by) members,	1 a		
b	stockholders, or persons other than the governing body?	7b		Х
8	Did the organization contemporaneously document the meetings held or written actions undertaken during			Ť
•	the year by the following:			
а	The governing body?	8a	Х	
b	Each committee with authority to act on behalf of the governing body?	8b	Х	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached			
	at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9		Χ
Sect	ion B. Policies (This Section B requests information about policies not required by the Internal Revenue C	ode.)		,
			Yes	No
	Did the organization have local chapters, branches, or affiliates?	10a		Х
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters,			
44-	affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b		
	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?.	11a	Х	
	Describe in Schedule O the process, if any, used by the organization to review this Form 990. Did the organization have a written conflict of interest policy? <i>If "No," go to line 13</i>	120	~	
	Did the organization have a written conflict of interest policy? <i>If "No," go to line 13 </i>	12a 12b	X	
	Did the organization regularly and consistently monitor and enforce compliance with the policy? <i>If</i> "Yes,"	120		
•	describe in Schedule O how this was done	12c	Х	
13	Did the organization have a written whistleblower policy?	13		Х
14	Did the organization have a written document retention and destruction policy?	14		Х
15	Did the process for determining compensation of the following persons include a review and approval by			
	independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
а	The organization's CEO, Executive Director, or top management official.	15a		Х
b	Other officers or key employees of the organization	15b		Х
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement			
	with a taxable entity during the year?	16a		Х
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its			
	participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard	4CL		
8004	the organization's exempt status with respect to such arrangements?	16b		<u> </u>
<u>Sect</u> 17	ion C. Disclosure List the states with which a copy of this Form 990 is required to be filed ▶ CT			
18	Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s (nlv)	
- •	available for public inspection. Indicate how you made these available. Check all that apply.	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	-··· j /	

Another's website X Upon request Other (explain in Schedule O)

Philip Marella (203) 861-2063
15 Desiree Dr, Greenwich, CT 06830

Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and

State the name, address, and telephone number of the person who possesses the organization's books and records:

51	1-6528048	
	1-UJZUU 1 U	

Form 990 (2017) Dana's Angels Research Trust

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Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated **Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII

Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees Section A.

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- · List all of the organization's current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
 - List all of the organization's current key employees, if any. See instructions for definition of "key employee."
- List the organization's five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's former officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- · List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	òοx,	unle: er an	Pos neck ss pe	rson	n ooth the set is or employee	an	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(1) Philip Marella	20.00									
Trustee	0.00	Χ						0	0	0
(2) Andrea Marella	30.00									
Trustee	0.00	Χ						0	0	0
(3) Norman Bryn								_		
Trustee	0.00	Χ						0	0	0
(4) Sonny Grosso	5.00									
Trustee	0.00	Х						0	0	0
(5) Maria Pope Kessel										
Trustee	0.00	Х						0	0	0
_(6)										
(9)										
(10)										
(11)										
(12)										
(13)										
(14)										

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Р	art VII	Section A. Officer	s, Directors,	Γrustees, Key Ε	mplo	yee	s, a	ınd	High	est	Compensated	Employees (co	ntinued	d)
		(A) Name and title		(B) Average hours per week (list any hours for	box,	unles er an	Pos neck ss pe d a c	ersor	e than is both tor/trus	h an tee)	(D) Reportable compensation from the	(E) Reportable compensation from related organizations	Esti amo o	(F) imated ount of other ensation
				related organizations below dotted line)	Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	mer	organization (W-2/1099-MISC)	(W-2/1099-MISC)	fro orga and	m the nization related nizations
(15)														
(16)														
(17)														
(18)														
(19)														
(20)														
(21)														
(22)														
(23)														
(24)														
(25)														
1b	Sub-total	l			٠	٠.				•	0	0		0
С		m continuation shee									0	0		0
d	Total (add	d lines 1b and 1c).								>	0			0
2		nber of individuals (in e compensation from			listed	abo	ove 0) wh	no rec	eiv	ed more than \$7	100,000 of		
-	Тороглавіс	o compendation from	the organization	511			0						Y	res No
3		rganization list any fo e on line 1a? <i>If "Yes,"</i>					•	-		_	•		3	X
4		ndividual listed on line												
	_	ization and related or						-	•			such	4	X
5	Did any po	erson listed on line 1	a receive or a	ccrue compensat	ion fr	om	any	unı	relate	d o	rganization or in			
800		es rendered to the or lependent Contract		"Yes," complete	Scne	auie	9 J T	or s	ucn p	pers	son		5	X
1	Complete	this table for your fiv ation from the organiz	e highest com										n's tax	
	•	Nan	(A) ne and business ad	ddress							(B) Description of se	vices ((C) Compens	ation
														0
														0
														0
														0 0
2		nber of independent on \$100,000 of compe	•	•	nited •	to th	nose	e lis	ted a		e) who received			

Part VIII Statement of Revenue

		Check if Schedule O contains a response or note to any	line in this Part VIII.			🔲
			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts	d e	<u> </u>	0 0			
Cor	g h	Noncash contributions included in lines 1a-1f: \$ Total. Add lines 1a-1f	0 . ► 325,428			
0		Business C	ode 323,428			
an ne	2a		0			
Ze v	b		0			
Program Service Revenue	C		0			
erv	d		0			
Ē	е		0			
ogra	f	All other program service revenue	0			
Pre	g	Total. Add lines 2a–2f	▶ 0			
	3	Investment income (including dividends, interest, and other similar amounts)				
	4	Income from investment of tax-exempt bond proceeds				
	5	Royalties				
		(i) Real (ii) Person	al			
	6a	Gross rents				
	b	Less: rental expenses				
	C	Rental income or (loss) 0	0			
	d	Net rental income or (loss)	.▶ 0			
	7a	Oross amount nom saids of				
	b	assets other than inventory . 0 Less: cost or other basis	0			
	D	and sales expenses 0	0			
	С	Gain or (loss)	0			
	d	Net gain or (loss)	▶ 0			
ər	8a	Gross income from fundraising				
Other Revenue		events (not including \$247,390 of contributions reported on line 1c).	,580			
the	b		829			
0	С	Net income or (loss) from fundraising events	.▶ -101,249			
		Gross income from gaming activities. See Part IV, line 19	0			
		Less: direct expenses b	0			
		Net income or (loss) from gaming activities	▶ 0			
		Gross sales of inventory, less returns and allowances	140			
		Less: cost of goods sold b	0			
	С	Net income or (loss) from sales of inventory				
		Miscellaneous Revenue Business C				
	11a		0			
	b		0			
	C	All other second	0			
	d	All other revenue	0			
	e 12	Total. Add lines 11a–11d			0	,
	12	Total revenue. See instructions	► 224,497	1 0	1 0	ı

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).	
Check if Schedule O contains a response or note to any line in this Part IX	. 🔲

	Officer if Confedure O contains a response of flote	to any mio in ano i	a.c., c		· · · <u> </u>
	not include amounts reported on lines 6b, 7b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to domestic organizations				
	domestic governments. See Part IV, line 21	166,376	166,376		
2	Grants and other assistance to domestic	·	·		
	individuals. See Part IV, line 22	0			
3	Grants and other assistance to foreign				
-	organizations, foreign governments, and foreign				
	individuals. See Part IV, lines 15 and 16	0			
4	Benefits paid to or for members	0			
5	Compensation of current officers, directors,	0			
•	trustees, and key employees	0		0	
6	Compensation not included above, to disqualified	U		U	
O	persons (as defined under section 4958(f)(1)) and				
		0			
7	persons described in section 4958(c)(3)(B)	0			
7	Other salaries and wages	U			
8	Pension plan accruals and contributions (include	0			
_	section 401(k) and 403(b) employer contributions)	0			
9	Other employee benefits	0			
10	Payroll taxes	0			
11	Fees for services (non-employees):				
a	Management	0			
b	Legal	0			
С	Accounting	0			
d	Lobbying	0			
е	Professional fundraising services. See Part IV, line 17	0			
f	Investment management fees	0			
g	Other. (If line 11g amount exceeds 10% of line 25, column				
	(A) amount, list line 11g expenses on Schedule O.)	0		0	
12	Advertising and promotion	0			
13	Office expenses	0			
14	Information technology	0			
15	Royalties	0			
16	Occupancy	0			
17	Travel	1,867	1,867		
18	Payments of travel or entertainment expenses				
	for any federal, state, or local public officials	0			
19	Conferences, conventions, and meetings	0			
20	Interest	0			
21	Payments to affiliates	0			
22	Depreciation, depletion, and amortization	0	0	0	0
23	Insurance	0			
24	Other expenses. Itemize expenses not covered				
	above (List miscellaneous expenses in line 24e. If				
	line 24e amount exceeds 10% of line 25, column				
	(A) amount, list line 24e expenses on Schedule O.)				
а	Postage	507			507
b	Printing/Printing Supplies	3,655		1,182	2,473
C	Web and Email Services	820		, -	820
d	Bank Fees/Credit Card Charges	314		54	260
e	All other expenses	2,420		1,031	1,389
25	Total functional expenses. Add lines 1 through 24e .	175,959	168,243	2,267	5,449
26	Joint costs. Complete this line only if the	-,	,	, , ,	
	organization reported in column (B) joint costs				
	from a combined educational campaign and				
	fundraising solicitation. Check here if				
	following SOP 98-2 (ASC 958-720)				

		Check if Schedule O contains a response or note to any line in this Part X	(
			(A) Beginning of year		(B) End of year
	1	Cash—non-interest-bearing	18,817	1	78,647
	2	Savings and temporary cash investments	381,871	2	371,789
	3	Pledges and grants receivable, net	0	3	0
	4	Accounts receivable, net	0	4	0
	5	Loans and other receivables from current and former officers, directors,			
		trustees, key employees, and highest compensated employees.			
		Complete Part II of Schedule L	0	5	0
	6	Loans and other receivables from other disqualified persons (as defined under section			
		4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and			
		sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary			
ts		organizations (see instructions). Complete Part II of Schedule L	0	6	0
Assets	7	Notes and loans receivable, net	0	7	0
Ã	8	Inventories for sale or use	0	8	
	9	Prepaid expenses and deferred charges	1,413	9	203
	10a	Land, buildings, and equipment: cost or			
		other basis. Complete Part VI of Schedule D 10a 0			
	b	Less: accumulated depreciation 10b 0	0	10c	0
	11	Investments—publicly traded securities	0	11	0
	12	Investments—other securities. See Part IV, line 11	0	12	0
	13	Investments—program-related. See Part IV, line 11	0	13	0
	14	Intangible assets	0	14	0
	15	Other assets. See Part IV, line 11	0	15	0
	16	Total assets. Add lines 1 through 15 (must equal line 34)	402,101	16	450,639
	17	Accounts payable and accrued expenses	0	17	
	18	Grants payable	0	18	
	19	Deferred revenue	0	19	
	20	Tax-exempt bond liabilities	0	20	
	21	Escrow or custodial account liability. Complete Part IV of Schedule D	0	21	
S	22	Loans and other payables to current and former officers, directors,			
Liabilities		trustees, key employees, highest compensated employees, and			
jq		disqualified persons. Complete Part II of Schedule L	0	22	0
Ë	23	Secured mortgages and notes payable to unrelated third parties	0	23	0
	24	Unsecured notes and loans payable to unrelated third parties	0	24	0
	25	Other liabilities (including federal income tax, payables to related third			
		parties, and other liabilities not included on lines 17-24). Complete			
		Part X of Schedule D	0	25	0
	26	Total liabilities. Add lines 17 through 25	0	26	0
		Organizations that follow SFAS 117 (ASC 958), check here ▶ and			
es		complete lines 27 through 29, and lines 33 and 34.			
uc	27	Unrestricted net assets	0	27	
Balances	28	Temporarily restricted net assets	0	28	
<u>В</u>	29	Permanently restricted net assets	0	29	
or Fund			J		
F		Organizations that do not follow SFAS 117 (ASC958), check here			
0 S		complete lines 30 through 34.			
set	30	Capital stock or trust principal, or current funds	0	30	
As	31	Paid-in or capital surplus, or land, building, or equipment fund	0	31	
Net Assets	32	Retained earnings, endowment, accumulated income, or other funds	402,101	32	450,639
Z	33	Total net assets or fund balances	402,101	33	450,639
	34	Total liabilities and net assets/fund balances	402,101	34	450,639

Reconciliation of Net Assets Check if Schedule O contains a response or note to any line in this Part XI. Total revenue (must equal Part VIII, column (A), line 12). Total expenses (must equal Part IX, column (A), line 25). Revenue less expenses. Subtract line 2 from line 1. Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	1 2 3		224	
Total revenue (must equal Part VIII, column (A), line 12)	1 2		224	
Total expenses (must equal Part IX, column (A), line 25)	2		224	40=
Revenue less expenses. Subtract line 2 from line 1				,497
Revenue less expenses. Subtract line 2 from line 1	3		175	5,959
Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))			48	3,538
	4		402	2,101
Net unrealized gains (losses) on investments	5			
Donated services and use of facilities	6			
Investment expenses	7			
Prior period adjustments	8			
Other changes in net assets or fund balances (explain in Schedule O)	9			
Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33,				
column (B))	10		450),639
			i	
Check if Schedule O contains a response or note to any line in this Part XII				
			Yes	No
Accounting method used to prepare the Form 990: X Cash Accrual Other				
If the organization changed its method of accounting from a prior year or checked "Other," explain in				
Schedule O.				
Were the organization's financial statements compiled or reviewed by an independent accountant?		. 2a		Χ
If "Yes," check a box below to indicate whether the financial statements for the year were compiled or				
reviewed on a separate basis, consolidated basis, or both:				
X Separate basis Consolidated basis Both consolidated and separate basis				
Were the organization's financial statements audited by an independent accountant?		. 2b		Х
separate basis, consolidated basis, or both:				
X Separate basis Consolidated basis Both consolidated and separate basis				
	of			
		20		
		. За		Х
· ·		- Ju		
		. 3b		
	Net unrealized gains (losses) on investments. Donated services and use of facilities. Investment expenses. Prior period adjustments. Other changes in net assets or fund balances (explain in Schedule O). Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B)). XII Financial Statements and Reporting Check if Schedule O contains a response or note to any line in this Part XII. Accounting method used to prepare the Form 990: X Cash Accrual Other If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O. Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: X Separate basis Consolidated basis Both consolidated and separate basis Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: X Separate basis Consolidated basis Both consolidated and separate basis If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: X Separate basis Consolidated basis Both consolidated and separate basis If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O. As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? If "Yes," did the organization undergo the required audit or aud	Net unrealized gains (losses) on investments	Net unrealized gains (losses) on investments 5 Donated services and use of facilities 6 Investment expenses 7 Prior period adjustments 8 Other changes in net assets or fund balances (explain in Schedule O) 9 Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B)) 10 XIII Financial Statements and Reporting Check if Schedule O contains a response or note to any line in this Part XII 10 Accounting method used to prepare the Form 990: X Cash Accrual Other If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O. Were the organization's financial statements compiled or reviewed by an independent accountant? 2 If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis Consolidated basis, or both: X Separate basis Consolidated basis Both consolidated and separate basis Were the organization's financial statements audited by an independent accountant? 2 If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: X Separate basis Consolidated basis Both consolidated and separate basis If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? 2 If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O. As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? 3 If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the	Net unrealized gains (losses) on investments

Form **990** (2017)

SCHEDULE A (Form 990 or 990-EZ)

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

Department of the Treasury Internal Revenue Service

► Attach to Form 990 or Form 990-EZ. ▶ Go to www.irs.gov/Form990 for instructions and the latest information. Open to Public Inspection

Ivaille	OI LI	ie organization					Employer identification	Humber	
Dana	ana's Angels Research Trust 51-6528048								
Par		Reason for Public Chari	ity Status (All org	anizations must cor	nplete th	is part.)	See instructions.		
The 1	he organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)								
	H	A school described in section 170(b)(1)(A)(ii). (Attach Schedule E (Form 990 or 990-EZ).)							
2	\vdash			•		-	•		
3	\sqsubseteq	A hospital or a cooperative hos							
4		A medical research organization hospital's name, city, and state	•	unction with a hospital	describe	d in sect	ion 170(b)(1)(A)(iii)	. Enter the	
5		An organization operated for the section 170(b)(1)(A)(iv). (Con		ge or university owner	d or opera	ated by a	governmental unit d	escribed in	
6		A federal, state, or local govern		ental unit described in	section '	170(b)(1)(A)(v).		
7	Χ	An organization that normally r described in section 170(b)(1)	receives a substant	ial part of its support f				neral public	
8	П	A community trust described in		·	art II.)				
9	Ħ	An agricultural research organ				ated in cor	niunction with a land	arant college	
J		or university or a non-land-grauniversity:							
10		An organization that normally receipts from activities related support from gross investment acquired by the organization a	to its exempt functi income and unrela	ions—subject to certainted business taxable	n exception	ons, and (ess sectio	2) no more than 33 n 511 tax) from bus	1/3% of its	
11		An organization organized and	l operated exclusive	ely to test for public sa	ıfety. See	section	509(a)(4).		
12		An organization organized and of one or more publicly suppor	ted organizations d	lescribed in section 5	09(a)(1)	or sectior	n 509(a)(2) . See se o	ction 509(a)(3).	
	Г	Check the box in lines 12a thro	· ·	• • • • • • • • • • • • • • • • • • • •			•	•	
а	_	Type I. A supporting organithe supported organization(organization. You must co	s) the power to reg	ularly appoint or elect					
b		Type II. A supporting organ control or management of the organization(s). You must	he supporting orgar	nization vested in the					
С		Type III functionally integrits supported organization(s	rated. A supporting	organization operated				tegrated with,	
d	ſ	Type III non-functionally in						organization(s)	
-	L	that is not functionally integr	rated. The organiza	ation generally must sa	atisfy a dis	stribution	requirement and an		
	r	requirement (see instruction							
е	L	Check this box if the organiz					s a Type I, Type II, T	ype III	
		functionally integrated, or T	<i>,</i> .	ally integrated suppor	ting orgar	nization.			_
T		Enter the number of supported Provide the following information						0	-
g		Name of supported organization	(ii) EIN	(iii) Type of organization	(iv) Is the	organization	(v) Amount of monetary	(vi) Amount of	-
	(-)		(,	(described on lines 1–10 above (see instructions))	listed in you	ur governing ment?		other support (see instructions)	
					Vaa	N-			
/ a \					Yes	No			_
(A)									
(B)									
(C)									
(D)									-
,- <i>)</i>									
(E)									
									_
T - 4 -									ľ

Page 2

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi) Part II (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Sec	ction A. Public Support			,,	•	,	
Cale	ndar year (or fiscal year beginning in)	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) 2017	(f) Total
2	Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	343,785	341,635	232,428	274,912	325,428	1,518,188
3	The value of services or facilities furnished by a governmental unit to the organization without charge.	242 705	241 625	222 420	274.042	225 429	0
5	Total. Add lines 1 through 3	343,785	341,635	232,428	274,912	325,428	1,518,188
6	Public support. Subtract line 5 from line 4						1,518,188
	ction B. Total Support	() 00 (0	, , , , , , , , , , , , , , , , , , ,	, , oo T	(1) 65 (5		(0 T · ·
Cale	ndar year (or fiscal year beginning in)	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) 2017	(f) Total
7 8	Amounts from line 4	343,785	341,635	232,428	274,912	325,428	1,518,188
9	similar sources	317	847	385	767	35	2,351
10	Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						0
11 12 13	Total support. Add lines 7 through 10	ganization's first, s	econd, third, fourth		s a section 501(c)	(3)	1,520,539
Sec	ction C. Computation of Public Sup	port Percenta	ge				
15	Public support percentage for 2017 (line 6, c Public support percentage from 2016 Schedu	ule A, Part II, line 1	4			14 15	99.85% 99.81%
	 33 1/3% support test—2017. If the organiza and stop here. The organization qualifies as 33 1/3% support test—2016. If the organiza 	a publicly support	ed organization .				> X
	box and stop here. The organization qualifie	s as a publicly sup	ported organizatio	n			
17a	10%-facts-and-circumstances test—2017. is 10% or more, and if the organization meets Part VI how the organization meets the "facts organization	s the "facts-and-cir s-and-circumstance	cumstances" test, es" test. The orgar	check this box and ization qualifies as	d stop here. Expla a publicly support	ain in ted	▶□
b	10%-facts-and-circumstances test—2016. 15 is 10% or more, and if the organization r Explain in Part VI how the organization meet supported organization	neets the "facts-ar s the "facts-and-cir	nd-circumstances' cumstances" test.	test, check this be The organization of	ox and stop here. qualifies as a publi	cly	▶□
18	Private foundation. If the organization did n	ot check a box on	line 13, 16a, 16b,	17a, or 17b, check	this box and see		

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II.)

If the organization fails to qualify under the tests listed below, please complete Part II.)

	ii tilo organization lallo to qua	my ariabi the t	coto notoa boto	W, picace com	pioto i art ii.)		
_	ction A. Public Support						
Cale	ndar year (or fiscal year beginning in)	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) 2017	(f) Total
1	Gifts, grants, contributions, and membership fees						
2	received. (Do not include any "unusual grants.") Gross receipts from admissions, merchandise						
_	sold or services performed, or facilities						
	furnished in any activity that is related to the						
	organization's tax-exempt purpose						C
3	Gross receipts from activities that are not an						_
	unrelated trade or business under section 513						
4	Tax revenues levied for the organization's						
	benefit and either paid to or expended on						
	its behalf						C
5	The value of services or facilities						
	furnished by a governmental unit to the						_
	organization without charge		_	_			
6	Total. Add lines 1 through 5	0	0	0	0	0	C
7a	Amounts included on lines 1, 2, and 3						_
	received from disqualified persons						C
b	Amounts included on lines 2 and 3						
	received from other than disqualified						
	persons that exceed the greater of \$5,000						
	or 1% of the amount on line 13 for the year			_			<u>C</u>
С	Add lines 7a and 7b	0	0	0	0	0	C
8	Public support (Subtract line 7c from						_
_	line 6.)						C
	ction B. Total Support	(1) 0040	(1.) 0044	(.) 0045	(1) 0040	(.) 0047	(D. T. (.)
	ndar year (or fiscal year beginning in)	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) 2017	(f) Total
9	Amounts from line 6	0	0	0	0	0	C
10a	Gross income from interest, dividends,						
	payments received on securities loans, rents,						
	royalties, and income from similar sources						C
b	Unrelated business taxable income (less						
	section 511 taxes) from businesses						_
	acquired after June 30, 1975						
	Add lines 10a and 10b	0	0	0	0	0	C
11	Net income from unrelated business						
	activities not included in line 10b, whether						_
	or not the business is regularly carried on .						C
12	Other income. Do not include gain or						
	loss from the sale of capital assets						
	(Explain in Part VI.)						C
13	Total support. (Add lines 9, 10c, 11,						
	and 12.)	0	0	0	0	0	C
14	First five years. If the Form 990 is for the org			-			. □
	organization, check this box and stop here.						
	ction C. Computation of Public Sup	•				4.5	0.000/
15	Public support percentage for 2017 (line 8, co	` '	,	,,		15	0.00%
16	Public support percentage from 2016 Schedu					16	0.00%
	ction D. Computation of Investment					47	0.000
17	Investment income percentage for 2017 (line		-			17	0.00%
18	Investment income percentage from 2016 Sci					18	0.00%
19a	33 1/3% support tests—2017. If the organization may be a set more than 23 1/3% shock this box and at						. □
L	not more than 33 1/3%, check this box and st	-			-		- _
D	33 1/3% support tests—2016. If the organization 18 is not more than 33 1/3%, check this b						
20			=				
20	Private foundation. If the organization did no	or crieck a box on i	111 6 14, 19 8, 01 191	o, check this box a	na see mstructions		🗩

51-6528048

Supporting Organizations Part IV

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supportin	ng Organizations
--------------------------	------------------

- Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.
- Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in Part VI how the organization determined that the supporte organization was described in section 509(a)(1) or (2).
- 3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.
- b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in Part VI when and how the organization made the determination.
- c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2) (B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.
- 4a Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes," and if you checked 12a or 12b in Part I, answer (b) and (c) below.
- **b** Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.
- c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.
- 5a Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).
- b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?
- c Substitutions only. Was the substitution the result of an event beyond the organization's control?
- Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI.
- Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).
- Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).
- 9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI.
- b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in Part VI.
- c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI.
- 10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer 10b below.
 - b Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)

ı		res	NO
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I			
	3b		
	3с		
	4a		
	4b		
ed			
	4c		
7	F		
	5a		
	5b		
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	9b		
	9с		
	10a		
	10b		
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Part	Supporting Organizations (continued)		V	NI -
44	Has the organization accepted a gift or contribution from any of the following persons?		Yes	No
11 a	Has the organization accepted a gift or contribution from any of the following persons? A person who directly or indirectly controls, either alone or together with persons described in (b) and (c)			
a	below, the governing body of a supported organization?	11a		
b	A family member of a person described in (a) above?	11b		
C	A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI.	11c		
	ion B. Type I Supporting Organizations			
	Ţ		Yes	No
1	Did the directors, trustees, or membership of one or more supported organizations have the power to			
	regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the			
	tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or			
	controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported			
	organizations and what conditions or restrictions, if any, applied to such powers during the tax year.	1		
2	Did the organization operate for the benefit of any supported organization other than the supported			
	organization(s) that operated, supervised, or controlled the supporting organization? <i>If</i> "Yes," explain in <i>Part</i>			
	VI how providing such benefit carried out the purposes of the supported organization(s) that operated,			
	supervised, or controlled the supporting organization.	2		
<u>Secti</u>	ion C. Type II Supporting Organizations			
			Yes	No
1	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control			
	or management of the supporting organization was vested in the same persons that controlled or managed			
	the supported organization(s).	1		
Secti	ion D. All Type III Supporting Organizations	•		
			Yes	No
1	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the			
	organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax			
	year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the			
•	organization's governing documents in effect on the date of notification, to the extent not previously provided?	1		
2	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how			
	the organization maintained a close and continuous working relationship with the supported organization(s).	2		
3	By reason of the relationship described in (2), did the organization's supported organizations have a	_		
	significant voice in the organization's investment policies and in directing the use of the organization's			
	income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's			
	supported organizations played in this regard.	3		
Secti	ion E. Type III Functionally Integrated Supporting Organizations			
1 a	Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see ins The organization satisfied the Activities Test. Complete line 2 below.	truc	tions).
b	The organization is the parent of each of its supported organizations. <i>Complete line 3 below.</i>			
С	The organization supported a governmental entity. Describe in Part VI how you supported a government entity (s	ee in	struct	ions)
2	Activities Test. Answer (a) and (b) below.		Yes	No
а	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of			
	the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify			
	those supported organizations and explain how these activities directly furthered their exempt purposes,			
	how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.	22		
b	Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more	2a		
J	of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the			
	reasons for the organization's position that its supported organization(s) would have engaged in these			
	activities but for the organization's involvement.	2b		
3	Parent of Supported Organizations. Answer (a) and (b) below.			
а	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or			
	trustees of each of the supported organizations? Provide details in Part VI.	3a		
b	Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each			

of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard.

3b

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Or	rgan	izations	
1 Check here if the organization satisfied the Integral Part Test as a qualifyi	ing tru	ust on Nov. 20, 1970 (expl	ain in Part VI). See
instructions. All other Type III non-functionally integrated supporting orga	aniza	tions must complete Section	ons A through E.
Section A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1 Net short-term capital gain	1		
2 Recoveries of prior-year distributions	2		
3 Other gross income (see instructions)	3		
4 Add lines 1 through 3.	4	0	0
5 Depreciation and depletion	5		
6 Portion of operating expenses paid or incurred for production or			
collection of gross income or for management, conservation, or			
maintenance of property held for production of income (see instructions)	6		
7 Other expenses (see instructions)	7		
8 Adjusted Net Income (subtract lines 5, 6, and 7 from line 4).	8	0	0
Section B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1 Aggregate fair market value of all non-exempt-use assets (see			
instructions for short tax year or assets held for part of year):			
a Average monthly value of securities	1a		
b Average monthly cash balances	1b		
c Fair market value of other non-exempt-use assets	1c		
d Total (add lines 1a, 1b, and 1c)	1d	0	0
e Discount claimed for blockage or other			
factors (explain in detail in Part VI):			
2 Acquisition indebtedness applicable to non-exempt-use assets	2		
3 Subtract line 2 from line 1d.	3	0	0
4 Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount,			
see instructions).	4	0	0
5 Net value of non-exempt-use assets (subtract line 4 from line 3)	5	0	0
6 Multiply line 5 by .035.	6	0	0
7 Recoveries of prior-year distributions	7	0	0
8 Minimum Asset Amount (add line 7 to line 6)	8	0	0
Section C - Distributable Amount			Current Year
1 Adjusted net income for prior year (from Section A, line 8, Column A)	1		0
2 Enter 85% of line 1	2		0
3 Minimum asset amount for prior year (from Section B, line 8, Column A)	3		0
4 Enter greater of line 2 or line 3.	4		0
5 Income tax imposed in prior year	5		
6 Distributable Amount. Subtract line 5 from line 4, unless subject to			
emergency temporary reduction (see instructions).	6		0
7 Check here if the current year is the organization's first as a non-functional	ally in	tegrated Type III supportin	g organization (see
instructions).	<i>,</i>	O visit Manuschiller	J J:

Part	Type III Non-Functionally integrated 509(a)(3)	Supporting Organiza	itions (continuea)	
Section	on D - Distributions			Current Year
1	Amounts paid to supported organizations to accomplish ex	cempt purposes		
2	Amounts paid to perform activity that directly furthers exen			
	organizations, in excess of income from activity			
3	Administrative expenses paid to accomplish exempt purpo			
4	Amounts paid to acquire exempt-use assets			
5	Qualified set-aside amounts (prior IRS approval required)			
6	Other distributions (describe in Part VI). See instructions.			
7	Total annual distributions. Add lines 1 through 6.			0
8	Distributions to attentive supported organizations to which	the organization is resp	onsive	
	(provide details in Part VI). See instructions.			
9	Distributable amount for 2017 from Section C, line 6			0
10	Line 8 amount divided by line 9 amount			0.000
		(1)	(ii)	(iii)
Se	ction E - Distribution Allocations (see instructions)	(i)	Underdistributions	Distributable
	,	Excess Distributions	Pre-2017	Amount for 2017
1	Distributable amount for 2017 from Section C, line 6			0
	Underdistributions, if any, for years prior to 2017			
2	(reasonable cause required—explain in Part VI). See			
	instructions.			
3	Excess distributions carryover, if any, to 2017			
а				
b	From 2013			
	From 2014			
	From 2015			
	From 2016 0			
	Total of lines 3a through e	0		
	Applied to underdistributions of prior years		0	
	Applied to 2017 distributable amount			0
i	Carryover from 2012 not applied (see instructions)			
j	Remainder. Subtract lines 3g, 3h, and 3i from 3f.	0		
4	Distributions for 2017 from			
	Section D, line 7: \$ 0			
а	Applied to underdistributions of prior years		0	
	Applied to 2017 distributable amount			0
С	Remainder. Subtract lines 4a and 4b from 4.	0		
5	Remaining underdistributions for years prior to 2017, if			
	any. Subtract lines 3g and 4a from line 2. For result			
	greater than zero, explain in Part VI. See instructions.		0	
6	Remaining underdistributions for 2017. Subtract lines 3h			
	and 4b from line 1. For result greater than zero, explain in			
	Part VI. See instructions.			0
7	Excess distributions carryover to 2018. Add lines 3j			
	and 4c.	0		
8	Breakdown of line 7:			
а	Excess from 2013 0			
	Excess from 2014			
	Excess from 2015 0			
	Excess from 2016			
	Excess from 2017			
_				

Pait VI	III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)
Part II Sect	ion B Line 10 is not applicable
Part II Sect	ion C Line 17a is not applicable
Part II Sect	ion C Line 17b is not applicable

SCHEDULE G (Form 990 or 990-EZ)

Supplemental Information Regarding Fundraising or Gaming Activities

Complete if the organization answered "Yes" on Form 990, Part IV, line 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.

OMB No. 1545-0047

Inspection

Department of the Treasury Internal Revenue Service

► Attach to Form 990 or Form 990-EZ. ► Go to www.irs.gov/Form990 for the latest instructions. Name of the organization Employer identification number 51-6528048 Dana's Angels Research Trust

Par	Form 990-EZ filers are no	•	•		ed "Yes" on Form	990, Paπ IV, line	17.		
1	Indicate whether the organization		ough <u>an</u> y o	f the follow					
а									
b	Internet and email solicitations	•	=		of government grant	is .			
C	Phone solicitations		g X S	oeciai tund	raising events				
d 2a	In-person solicitations Did the organization have a writter	n or oral agreem	ent with an	v individus	al (including officers	directors trustees			
Za	key employees listed in Form 990.						Yes X No		
b	If "Yes," list the 10 highest paid incompensated at least \$5,000	dividuals or entit	ties (fundrai		-	-	indraiser is		
	(i) Name and address of individual or entity (fundraiser)	(ii) Activity	custody o	draiser have r control of utions?	(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization		
			Yes	No					
1					0	0	0		
2					0	0	0		
3					0	0	0		
4					0	0	0		
5					0	0	0		
6					0	0	0		
7					0	0	0		
8					0	0	0		
9					0	0	0		
10					0	0	0		
T-4-1					0	0	0		
Total 3 CT	List all states in which the organizategistration or licensing.	_					0 s exempt from		

Schedule G (Form 990 or 990-EZ) 2017 Dana's Angels Research Trust 51-6528048 Page **2** Fundraising Events. Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported Part II more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000. (a) Event #1 (b) Event #2 (c) Other events (d) Total events Gala Concerts NONE (add col. (a) through col. (c)) (event type) (event type) (total number) Revenue Gross receipts 328,970 0 328,970 Less: Contributions . . . 247,390 247,390 Gross income (line 1 minus line 2) 81,580 0 81,580 0 Cash prizes 0 Noncash prizes 0 0 Direct Expenses Rent/facility costs 13,470 0 6 13,470 Food and beverages . . . 9,907 0 7 9,907 Entertainment 120,697 120,697 Other direct expenses . . 38,755 0 38,755 \blacktriangleright 182,829) Net income summary. Subtract line 10 from line 3, column (d) . -101,249 Part III Gaming. Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a. (b) Pull tabs/instant (d) Total gaming (add Revenue (a) Bingo (c) Other gaming bingo/progressive bingo col. (a) through col. (c)) Gross revenue. 0 Direct Expenses 2 Cash prizes 0 Noncash prizes 0 Rent/facility costs Other direct expenses . 0 5 Yes Yes Yes No Volunteer labor No No Direct expense summary. Add lines 2 through 5 in column (d) 0) Net gaming income summary. Subtract line 7 from line 1, column (d) Enter the state(s) in which the organization conducts gaming activities: **b** If "No," explain:

10a Were any of the organization's gaming licenses revoked, suspended, or terminated during the tax year? . . **b** If "Yes," explain:

scriedi	ule G (Form 990 or 990-E2) 2017 Dana's Angels Research Trust	51-652804	·8 Page 3
11	Does the organization conduct gaming activities with nonmembers?	Yes	s No
12	Is the organization a grantor, beneficiary or trustee of a trust, or a member of a partnership or other entity formed to administer charitable gaming?	Yes	s No
13	Indicate the percentage of gaming activity conducted in:	ſ	
а	, , , , , , , , , , , , , , , , , , ,	13a	%
b	,	13b	%
14	Enter the name and address of the person who prepares the organization's gaming/special events books and records:		
	Name ▶		
	Address ►		
15a	Does the organization have a contract with a third party from whom the organization receives gaming revenue?	Yes	s No
b	If "Yes," enter the amount of gaming revenue received by the organization ▶\$	<u> </u>	<u> </u>
	amount of gaming revenue retained by the third party $ ightharpoonup $$$ 0 .		
С	If "Yes," enter name and address of the third party:		
	Name ▶		
	Address ▶		
16	Gaming manager information:		
	Name ►		
	Gaming manager compensation ► \$0		
	Description of services provided		
	Director/officer Employee Independent contractor		
17	Mandatory distributions:		
а	Is the organization required under state law to make charitable distributions from the gaming proceeds to		
	retain the state gaming license?	Yes	S No
b	Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year \$		0
Part		, , , ,	

SCHEDULE I (Form 990)

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

► Attach to Form 990.

Internal Revenue Service

Name of the organization

Department of the Treasury

►Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2017

Open to Public Inspection
Employer identification number

Dana's Angels Research Trust						5	51-6528048
Part I General Information	on on Grants a	nd Assistance					
 Does the organization maint the selection criteria used to Describe in Part IV the organization 	award the grant	s or assistance?.					X Yes No
		_			Complete if the organ		Yes" on Form
1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non- cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) Washington University 1 Brookings Dr St Louis, MO 63130	43-0653611		59,726				Medical Research
(2) University of Michigan 1150 W Medical Cntr Dr Ann Arbor, M	38-6006309		44,400				Medical Research
(3) University of Pennsylvania 3900 Delancy St Philadelphia, PA 191	23-1352685		33,750				Medical Research
(4) Albert Einstein College of Medicine 1410 Pelham Pkwy Bronx, NY 10461	13-1624225		12,500				Medical Research
(5) Washington & Lee University 203 W Washington St Lexington, VA 2	54-0505977		12,000				Medical Research
(6) Fordham University 441 E Fordham Rd Bronx, NY 10458	13-1740451		4,000				Medical Research
(8)							
(10)							
(11)							
(12)							
2 Enter total number of section3 Enter total number of other of				1 table			• • •

Dana's Angels Research Trust

Schedule I (Form 990) (2017)

Page 2
Page 2

Part III	Grants and Other Assistance to Do Part III can be duplicated if additional		•	organization answere	ed "Yes" on Form 990, Pa	art IV, line 22.
	(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of noncash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of noncash assistance
1						
2						
3						
4						
5						
6						
7						
Part IV	Supplemental Information. Provide	the information red	quired in Part I, line	2; Part III, column (b); and any other addition	al information.
Part I Line	e 2 All grants are approved by the trustees	of the organization.	All recipients are requ	uired to keep detailed t	financial and	
research	information as to the use of funds provided	by the organization	and performance unc	ler the grant which is	consistent with the	
stated go	als of the grant. Grant recipients are require	ed to provide periodi	c reports as well as a	final report stating the	; :	
accomplis	shments of the project and a final accountin	g of the use of all fu	nds.			

SCHEDULE O (Form 990 or 990-EZ)

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

► Attach to Form 990 or 990-EZ.

► Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2017

Open to Public Inspection

Department of the Treasury
Internal Revenue Service
Name of the organization

Employer identification number

Dana's Angels Research Trust 5	61-6528048
Form 990, Part III, Line 4d: Program Service Expenses: 44,400, Grants and allocations:	
44,400, Revenue: 44,400 Research grant to University of Michigan for research into the	
ototoxicity of Kleptose (VTS-270) in the treatment of NP-C and for finding ways to prevent,	
reduce or recover hearing loss due to treatment.	
Form 990, Part III, Line 4d: Program Service Expenses: 4,000, Grants and allocations: 4,000,	
Revenue: 0 Research internships for students at Fordham University to assist NP-C researchers	
during the summer of 2016 at Albert Einstein College of Medicine.	
Form 990, Part III, Line 4d: Program Service Expenses: 1,867, Grants and allocations: 1,867,	
Revenue: 0 Meeting Expenses for SOAR-NPC.	
Form 990, Part III, Line 4d: Program Service Expenses: 33,750, Grants and allocations:	
33,750, Revenue: 0 Funding for drug development research collaborative (SOAR-NPC); Univers	ity
of Pennsylvania research collaborator.	
Form 990, Part IV, Section A, Line 2: Yes, trustees Philip Marella and Andrea Marella are	
husband and wife.	
Form 990, Part VI, Section B, Line 11b: Dana's Angels Research Trust circulates a draft copy	
of its Form 990 and schedules to all trustees for review and approval prior to filing.	
Form 990, Part VI, Section B, Line 12c: Dana's Angels Research Trust annually distributes a	
copy of its conflict of interest policy to trustees and key volunteers.	
Form 990, Part VI, Section C, Line 19: Dana's Angels Research Trust makes its governing	
documents, conflict of interest policy and Form 990s available to the public in downloadable	
form on its web site and/or upon request.	

Schedule O (Form 990 or 990-E∠) (2017)	Pag	_{je} 2
Name of the organization	Employer identification number	
Dana's Angels Research Trust	51-6528048	
Build of Angolo (Cooodion Trade	01 0020010	

Part VIII, Lines 1a-h (990) - Contributions, Gifts, Grants, and Other Amounts

	Cash	Noncash
1 Federated Campaigns	<u>-</u>	
2 Membership dues	<u> </u>	
3 Fundraising events	247,390	
4 Related organizations		-
5 Government grants (contributions)		
6 All other contributions, gifts, grants, and similar amounts not included above:	<u> </u>	
General Donations	78,038	
Other contributions total	78,038	0
7 Total	325,428	0

51-6528048